

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. H. WALLMAN
Serial Number : 10/627,921
Filed : July 28, 2003
For : METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR
: SMALLER INVESTORS OR OTHERS TO CREATE AND
: MANAGE A PORTFOLIO OF SECURITIES OR OTHER
: ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS
Art Unit : 3694
Examiner : Ms. Susanna M. Meinecke Diaz

Mail Stop: **AMENDMENT**

Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313

PETITION TO EXTEND TIME TO RESPOND AND
RESPONSE TO OFFICE ACTION

Sir:

The Applicant hereby petitions the Assistant Commissioner to grant a three (3) month extension of time, up to and including December 6, 2007, in which to respond to the Office Action mailed June 6, 2007 in the above-identified application. The small entity extension fee in the amount of \$525.00 may be charged to the deposit account of Kenyon & Kenyon LLP, Deposit Account No. 11-0600. In response to the Office Action mailed on June 6, 2007, the Applicant hereby respectfully submits the following amendments and remarks:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Remarks begin on page 5 of this paper.